## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

	Attorney Docket No.	15672US01				
	First Inventor	C. Randal Mills et al.				
	Title	PROCESS AND APPARATUS FOR TREATING IMPLANTS COMPRISING SOFT TISSUE				
	Express Mail Label No.	EV 303831005 US				

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.  ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  1.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. See 37 CFR 1.27.  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)							
(Submit an original and a duplicate for fee processing)  Applicant claims small entity status.  See 37 CFR 1.27.  (Submit an original and a duplicate for fee processing)  Applicant claims small entity status.  (if applicable, all necessary)							
2. Applicant claims small entity status. See 37 CFR 1.27.  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)							
3. Specification [Total Pages <u>55</u> ] a. Computer Readable Form (CRF)							
-Descriptive title of the invention							
-Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer  b. Specification Sequence Listing on:  c. CD-ROM or CD-R (2 copies); or							
program listing appendix							
-Background of the Invention -Brief Description of the Drawings (if filed)							
-Detailed Description -Claim(s)  c. Statements verifying identity of above copies							
-Abstract of the Disclosure ACCOMPANYING APPLICATION PARTS							
9. Assignment Papers (cover sheet & documents(s))							
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney							
5. Oath or Declaration [Total Sheets 1] 11. English Translation Document (if applicable)							
a. Newly executed (original or copy)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations							
b. Copy from a prior application (37 CFR 1.63(d))  13. Preliminary Amendment							
(for continuation/divisional with Box 18 completed)  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
i DELETION OF INVENTOR(S)  15. Certified Copy of Priority Document(s)							
Signed statement attached deleting inventor(s) (if foreign priority is claimed)							
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  Nonpublication Request under 35 U.S.C. 122(b) (2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.							
6 Application Data Sheet. See 37 CFR 1.76							
7) Application Data officer. See 57 CTX 1.70							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence							
the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:							
Prior application information: Examiner: Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under B							
5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.							
19. CORRESPONDENCE ADDRESS							
Name Michael B. Harlin							
McAndrews, Held & Malloy, Ltd.							
500 West Madison Street, Suite 3400							
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Name (Print/type) Michael B. Harlin , Registration No. (Attorney/Agent) 43,658							
Signature Date April 20, 2004							



Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number.

## **FEE TRANSMITTAL** for FY 2004

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)????

Complete if Known					
Application Number	Not yet assigned				
Filing Date	April 20, 2004				
First Named Inventor	C. Randal Mills et al.				
Examiner Name	n/a				
Group Art Unit					
Attorney Docket No.	15672US02	_			

METHOD OF PAYMENT				FEE CALCULATION (continued)						
1.   The Commissioner is hereby authorized to charge indicated fees and					3. ADDITIONAL FEES					
credit any overpayments to:				Large Small						
Deposit				Entit	y	Entity	y			
Account Number		13-0017		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit			1051	130	2051	65	Surcharge - late filing fee or oath	raiu		
Account	ount McAndrews Held & Malloy			1052	50	2052	25	Surcharge - late provisional filing fee or cover	<u> </u>	
Name l ⊠ Char	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17							sheet		
	Applicant claims small entity status.			1053	130	1053	130	Non-English specification	<u> </u>	
See	37 CFR 1.27	or any ordered.						Horr-English Specification		
2. ☐ Payment Enclosed:			1812		1812	2,520	For filing a request for ex parte reexamination			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
	-	CALCULATION		1805	1,840	*1805	1,840*	Requesting publication of SIR after Examiner action		
1. BASIC FIL	ING FEE V Small Entity									
Fee Fee		Fee Description		1251	110	2251	55	Extension for reply within first month		
Code (\$)	Code (\$)	•	Fee Paid	1252 1253	420 950	2252 2253	210 475	Extension for reply within second month		
1001 77	2001 385	Utility filing Fee	770.00	1253		2253	740	Extension for reply within third month  Extension for reply within fourth month	<del></del>	
1002 34	2002 170	Design filing Fee		1255		2255	1,005	Extension for reply within fifth month		
1003 53	2003 265	Plant filing fee		1401	330	2401	165	Notice of Appeal		
		•		1402	330	2402	165	Filing a brief in support of an appeal		
1004 77		Reissue filing fee		1403	290	2403	145	Request for oral hearing		
1005 16	2005 80	Provisional filing fee		1451	1,510	1451	1510	Petition to institute a public use proceeding		
				1452	110	2452	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$)770.00			1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES			1501	1,330	2501	665	Utility issue fee (or reissue)			
		Fee from		1502	480	2502	240	Design issue fee		
		Extra Claims below	Fee Paid	1503	640	2503	320	Plant issue fee		
Total Claims	96 - 20** =	76 x 18 ≃	1368	1460	130	1460	130	Petitions to the Commissioner		
Independent				1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Claims	7 - 3** =	4 x 86 =	344	1806	180	1806	180	Submission of Information Disclosure Stmt	<u>  </u>	
Multiple Depe	ndent	290 =	290	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
	y Small Entity			1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))		
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1202 18	2202 9	Claims in excess of 20		1801	770	2801	385	Request for Continued Examination (RCE)	<u> </u>	
1201 86	2201 43	Independent claims in excess	of 3	1802	900	1802	900	Request for expedited examination of a design application		
1203 29	2203 145	203 145 Multiple dependent claim, if not paid		Other fee (specify)						
1204 86	86 2204 43 **Reissue independent claims over original patent		<u> </u>							
1205 18 2205 9 **Relssue claims in excess of 20 and over original patent										
SUBTOTAL (2) (\$)2772									1	
**or number previously paid, if greater; For Reissues, see above				*Redu	ced by	Basic Fil	ing Fee F	Paid SUBTOTAL (3) (\$	)2772	

SUBMITTED BY	,				Complete (if applicable)
Name (Print/Type)	Michael B. Harlin	Registration No. (Attorney or Agent)	43,658	Telephone	312-775-8000
Signature	Muchael B	Harli		Date	April 20, 2004